

BRAMWELL BROWN LTD

INVESTMENT ADVISERS – SHAREBROKERS

Director: Brett Dymond – BBS, GradDipBusStud (Personal Financial Planning)

Bramwell Brown Limited – Privacy Statement

Introduction

Bramwell Brown Ltd is committed to providing high quality financial services in a secure and confidential manner, in compliance with the Privacy Act 2020.

This policy sets out how we will collect, use, disclose and protect your personal information. Personal information includes any information that could identify you, such as your name and address, tax details, transaction records and any unique identifiers assigned to you.

Collecting your personal information

We will collect personal information about you:

- To provide you with financial products or services
- To maintain your contact details
- To fulfil our legal obligations – eg: complying with the Anti-Money Laundering & Countering Financing of Terrorism (AML/CFT) legislation

Using your personal information

We will use your personal information:

- To deliver the products and services you have asked us to provide
- To verify your identity
- To meet our obligations to regulators, other authorities, and to comply with any laws
- To communicate general information and updates eg: via monthly newsletter

Sharing your personal information

We may share your personal information with:

- Third party providers we use to help us provide services to you
- Government agencies, as required by legislation
- Any third party you authorise disclosure to (such as your lawyer, accountant or Trustee of a Trust)
- Any other person authorised by you.

Protecting your personal information

We will take all steps practicable to keep your personal information safe from loss or unauthorised access. If there has been a breach of your personal information, we will attempt to rectify the breach, and limit any harm. If a breach is likely to cause serious harm we will notify you, and report the breach to the Privacy Commissioner.

Accessing and updating your personal information

You, or someone you authorise, have the right to access the personal information we hold about you and request a correction to your personal information. If you have any questions about this privacy policy, or if you would like to request access to, or correction of, your personal information, you can contact us at privacy@brammellbrown.co.nz